

3

Please type a plus sign (+) inside the box → ☐PTO/SB/21 (12-97)
Approved for use through 9/30/00. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

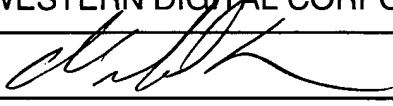
+

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

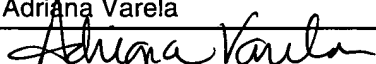
TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Applicati n Number	09/585,129	
	Filing Date	05/31/2000	
	First Named Inventor	SCOTT T. HUGHES	
	Group Art Unit	2768	
	Examiner Name	Unknown	
Total Number of Pages in This Submission	7	Attorney Docket Number	K35A0614

ENCLOSURES <i>(check all that apply)</i>		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i>	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i>
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) <i>(please identify below):</i>
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Post Card
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	Declaration Utility Application
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	Notice to File Missing Parts
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	Bibliography Form
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	WESTERN DIGITAL CORPORATION - Milad G. Shara, 39,367		
Signature	 9/15/00		
Date	September 5, 2000		

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: September 5, 2000			
Typed or printed name	Adriana Varela		
Signature		Date	9/15/00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

+



Approved for use through 9/30/00. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL		Complete if Known		
		Application Number	09/ 585,129	
<i>Note: Effective October 1, 1997. Patent fees are subject to annual revision.</i>		Filing Date	MAY 31, 2000	
		First Named Inventor	SCOTT T. HUGHES	
		Group Art Unit	2768	
		Examiner Name	UNKNOWN	
TOTAL AMOUNT OF PAYMENT (\$)		130.00	Attorney Docket Number	K35A0614

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)																																																																																																																								
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number: 23-1209</p> <p>Deposit Account Name: WESTERN DIGITAL CORPORATION</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance</p> <p>2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>	<p>3. ADDITIONAL FEES</p> <table border="1"><thead><tr><th>Large Entity Fee Code (\$)</th><th>Small Entity Fee Code (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>105 130</td><td>205 65</td><td>Surcharge - late filing fee or oath</td><td>130.00</td></tr><tr><td>127 50</td><td>227 25</td><td>Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr><tr><td>139 130</td><td>139 130</td><td>Non-English specification</td><td></td></tr><tr><td>147 2,520</td><td>147 2,520</td><td>For filing a request for reexamination</td><td></td></tr><tr><td>112 920*</td><td>112 920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>113 1,840*</td><td>113 1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td>115 110</td><td>215 55</td><td>Extension for reply within first month</td><td></td></tr><tr><td>116 380</td><td>216 190</td><td>Extension for reply within second month</td><td></td></tr><tr><td>117 870</td><td>217 435</td><td>Extension for reply within third month</td><td></td></tr><tr><td>118 1,360</td><td>218 680</td><td>Extension for reply within fourth month</td><td></td></tr><tr><td>128 1,850</td><td>228 925</td><td>Extension for reply within fifth month</td><td></td></tr><tr><td>119 300</td><td>219 150</td><td>Notice of Appeal</td><td></td></tr><tr><td>120 300</td><td>220 150</td><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>121 260</td><td>221 130</td><td>Request for oral hearing</td><td></td></tr><tr><td>138 1,510</td><td>138 1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr><tr><td>140 110</td><td>240 55</td><td>Petition to revive - unavoidable</td><td></td></tr><tr><td>141 1,210</td><td>241 660</td><td>Petition to revive - unintentional</td><td></td></tr><tr><td>142 1,210</td><td>242 605</td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>143 430</td><td>243 215</td><td>Design issue fee</td><td></td></tr><tr><td>144 580</td><td>244 290</td><td>Plant issue fee</td><td></td></tr><tr><td>122 130</td><td>122 130</td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>123 50</td><td>123 50</td><td>Petitions related to provisional applications</td><td></td></tr><tr><td>126 240</td><td>126 240</td><td>Submission of Information Disclosure Stmt</td><td></td></tr><tr><td>581 40</td><td>581 40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr><tr><td>146 690</td><td>246 345</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr><tr><td>149 690</td><td>249 345</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr><tr><td colspan="4">Other fee (specify) _____</td></tr><tr><td colspan="4">Other fee (specify) _____</td></tr><tr><td colspan="4">SUBTOTAL (3) (\$)</td></tr></tbody></table>	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105 130	205 65	Surcharge - late filing fee or oath	130.00	127 50	227 25	Surcharge - late provisional filing fee or cover sheet.		139 130	139 130	Non-English specification		147 2,520	147 2,520	For filing a request for reexamination		112 920*	112 920*	Requesting publication of SIR prior to Examiner action		113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action		115 110	215 55	Extension for reply within first month		116 380	216 190	Extension for reply within second month		117 870	217 435	Extension for reply within third month		118 1,360	218 680	Extension for reply within fourth month		128 1,850	228 925	Extension for reply within fifth month		119 300	219 150	Notice of Appeal		120 300	220 150	Filing a brief in support of an appeal		121 260	221 130	Request for oral hearing		138 1,510	138 1,510	Petition to institute a public use proceeding		140 110	240 55	Petition to revive - unavoidable		141 1,210	241 660	Petition to revive - unintentional		142 1,210	242 605	Utility issue fee (or reissue)		143 430	243 215	Design issue fee		144 580	244 290	Plant issue fee		122 130	122 130	Petitions to the Commissioner		123 50	123 50	Petitions related to provisional applications		126 240	126 240	Submission of Information Disclosure Stmt		581 40	581 40	Recording each patent assignment per property (times number of properties)		146 690	246 345	Filing a submission after final rejection (37 CFR 1.129(a))		149 690	249 345	For each additional invention to be examined (37 CFR 1.129(b))		Other fee (specify) _____				Other fee (specify) _____				SUBTOTAL (3) (\$)			
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																						
105 130	205 65	Surcharge - late filing fee or oath	130.00																																																																																																																						
127 50	227 25	Surcharge - late provisional filing fee or cover sheet.																																																																																																																							
139 130	139 130	Non-English specification																																																																																																																							
147 2,520	147 2,520	For filing a request for reexamination																																																																																																																							
112 920*	112 920*	Requesting publication of SIR prior to Examiner action																																																																																																																							
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action																																																																																																																							
115 110	215 55	Extension for reply within first month																																																																																																																							
116 380	216 190	Extension for reply within second month																																																																																																																							
117 870	217 435	Extension for reply within third month																																																																																																																							
118 1,360	218 680	Extension for reply within fourth month																																																																																																																							
128 1,850	228 925	Extension for reply within fifth month																																																																																																																							
119 300	219 150	Notice of Appeal																																																																																																																							
120 300	220 150	Filing a brief in support of an appeal																																																																																																																							
121 260	221 130	Request for oral hearing																																																																																																																							
138 1,510	138 1,510	Petition to institute a public use proceeding																																																																																																																							
140 110	240 55	Petition to revive - unavoidable																																																																																																																							
141 1,210	241 660	Petition to revive - unintentional																																																																																																																							
142 1,210	242 605	Utility issue fee (or reissue)																																																																																																																							
143 430	243 215	Design issue fee																																																																																																																							
144 580	244 290	Plant issue fee																																																																																																																							
122 130	122 130	Petitions to the Commissioner																																																																																																																							
123 50	123 50	Petitions related to provisional applications																																																																																																																							
126 240	126 240	Submission of Information Disclosure Stmt																																																																																																																							
581 40	581 40	Recording each patent assignment per property (times number of properties)																																																																																																																							
146 690	246 345	Filing a submission after final rejection (37 CFR 1.129(a))																																																																																																																							
149 690	249 345	For each additional invention to be examined (37 CFR 1.129(b))																																																																																																																							
Other fee (specify) _____																																																																																																																									
Other fee (specify) _____																																																																																																																									
SUBTOTAL (3) (\$)																																																																																																																									
<p>1. FILING FEE</p> <table border="1"><thead><tr><th>Large Entity Fee Code (\$)</th><th>Small Entity Fee Code (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>101 690</td><td>201 345</td><td>Utility filing fee</td><td></td></tr><tr><td>106 310</td><td>206 155</td><td>Design filing fee</td><td></td></tr><tr><td>107 480</td><td>207 240</td><td>Plant filing fee</td><td></td></tr><tr><td>108 690</td><td>208 345</td><td>Reissue filing fee</td><td></td></tr><tr><td>114 150</td><td>214 75</td><td>Provisional filing fee</td><td></td></tr><tr><td colspan="4">SUBTOTAL (1) (\$)</td></tr></tbody></table> <p>2. CLAIMS</p> <table border="1"><thead><tr><th>Total Claims</th><th>Extra</th><th>Fee from below</th><th>Fee Paid</th></tr></thead><tbody><tr><td>-20 =</td><td>X</td><td>18</td><td></td></tr><tr><td>Independent Claims - 3 =</td><td>X</td><td>78</td><td></td></tr><tr><td>Multiple Dependent Claims</td><td>X</td><td></td><td></td></tr></tbody></table> <p>Large Entity Small Entity</p> <table border="1"><thead><tr><th>Fee Code (\$)</th><th>Fee Code (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>103 18</td><td>203 9</td><td>Claims in excess of 20</td><td></td></tr><tr><td>102 78</td><td>202 39</td><td>Independent claims in excess of 3</td><td></td></tr><tr><td>104 260</td><td>204 130</td><td>Multiple dependent claim</td><td></td></tr><tr><td>109 78</td><td>209 39</td><td>Reissue independent claims over original patent</td><td></td></tr><tr><td>110 18</td><td>210 9</td><td>Reissue claims in excess of 20 and over original patent</td><td></td></tr><tr><td colspan="4">SUBTOTAL (2) (\$)</td></tr></tbody></table>	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	101 690	201 345	Utility filing fee		106 310	206 155	Design filing fee		107 480	207 240	Plant filing fee		108 690	208 345	Reissue filing fee		114 150	214 75	Provisional filing fee		SUBTOTAL (1) (\$)				Total Claims	Extra	Fee from below	Fee Paid	-20 =	X	18		Independent Claims - 3 =	X	78		Multiple Dependent Claims	X			Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid	103 18	203 9	Claims in excess of 20		102 78	202 39	Independent claims in excess of 3		104 260	204 130	Multiple dependent claim		109 78	209 39	Reissue independent claims over original patent		110 18	210 9	Reissue claims in excess of 20 and over original patent		SUBTOTAL (2) (\$)																																																				
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																						
101 690	201 345	Utility filing fee																																																																																																																							
106 310	206 155	Design filing fee																																																																																																																							
107 480	207 240	Plant filing fee																																																																																																																							
108 690	208 345	Reissue filing fee																																																																																																																							
114 150	214 75	Provisional filing fee																																																																																																																							
SUBTOTAL (1) (\$)																																																																																																																									
Total Claims	Extra	Fee from below	Fee Paid																																																																																																																						
-20 =	X	18																																																																																																																							
Independent Claims - 3 =	X	78																																																																																																																							
Multiple Dependent Claims	X																																																																																																																								
Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid																																																																																																																						
103 18	203 9	Claims in excess of 20																																																																																																																							
102 78	202 39	Independent claims in excess of 3																																																																																																																							
104 260	204 130	Multiple dependent claim																																																																																																																							
109 78	209 39	Reissue independent claims over original patent																																																																																																																							
110 18	210 9	Reissue claims in excess of 20 and over original patent																																																																																																																							
SUBTOTAL (2) (\$)																																																																																																																									

SUBMITTED BY				Complete (if applicable)	
Typed or Printed Name	Milad G. Shara, Esq.			Reg. Number	39,367
Signature				Deposit Account User ID	
	Date	5/8/00			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

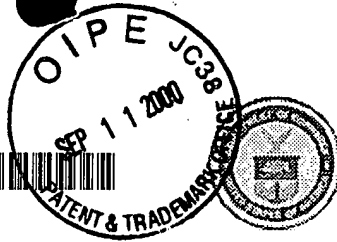
SECTOR

#3

FORMALITIES LETTER



OC00000005345291

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/585,129	05/31/2000	Scott T. Hughes	K35A0614

Milad G Shara Esq
Western Digital Corporation
Plaza 3
8105 Irvine Center Drive
Irvine, CA 93618

Date Mailed: 08/23/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/12/2000 CVORACHA 00000149 231209 09585129

01 FC:105 130.00 CH